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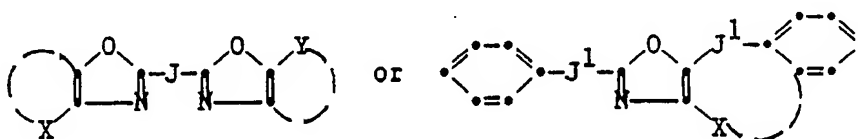
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(54) Thermally-transferable fluorescent oxazoles.

(57) A donor element for thermal transfer comprising a support having on one side thereof a fluorescent oxazole compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant. In a preferred embodiment, the compound has the formula:



wherein:

J represents an ethylenic linking group;

each J' independently represents a monovalent bond or J; and

each X and Y independently represents hydrogen; a substituted or unsubstituted alkyl, alkylene or acyl group having from 1 to 10 carbon atoms; a substituted or unsubstituted aryl group having from 6 to 10 carbon atoms; or the atoms necessary to complete a 5- or 6-membered carbocyclic or heterocyclic ring.

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## THERMALLY-TRANSFERABLE FLUORESCENT OXAZOLES

This invention relates to fluorescent donor elements used in thermal transfer.

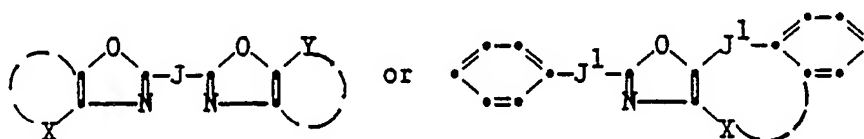
In recent years, thermal transfer systems have been developed to obtain prints from pictures which have been generated electronically from a color video camera. According to one way of obtaining such prints, an electronic picture is first subjected to color separation by color filters. The respective color-separated images are then converted into electrical signals. These signals are then operated on to produce cyan, magenta and yellow electrical signals. These signals are then transmitted to a thermal printer. To obtain the print, a cyan, magenta or yellow dye-donor element is placed face-to-face with a dye-receiving element. The two are then inserted between a thermal printing head and a platen roller. A line-type thermal printing head is used to apply heat from the back of the dye-donor sheet. The thermal printing head has many heating elements and is heated up sequentially in response to the cyan, magenta and yellow signals. The process is then repeated for the other two colors. A color hard copy is thus obtained which corresponds to the original picture viewed on a screen. Further details of this process and an apparatus for carrying it out are contained in U.S. Patent No. 4,621,271.

The system described above has been used to obtain visible dye images. However, for security purposes, to inhibit forgeries or duplication, or to encode confidential information, it would be advantageous to create non-visual ultraviolet absorbing images that fluoresce with visible emission when illuminated with ultraviolet light.

U.S. Patent 4,627,997 discloses a fluorescent thermal transfer recording medium comprising a thermally-meltable, wax ink layer. In that system, the fluorescent material is transferred along with the wax material when it is melted. Wax transfer systems, however, are incapable of providing a continuous tone. Further, the fluorescent materials of that reference are incapable of diffusing by themselves in the absence of the wax matrix. It is an object of this invention to provide fluorescent materials useful in a continuous tone system which have sufficient vapor pressure to transfer or diffuse by themselves from a donor element to a dye-receiver.

In accordance with this invention, a donor element for thermal transfer is provided comprising a support having on one side thereof a fluorescent oxazole compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant.

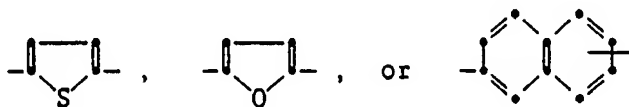
Any oxazole compound may be employed in the invention as long as it is sublimable and diffusible as described above. In a preferred embodiment of the invention, the oxazole compound has the formula:



wherein:

J represents an ethylenic linking group, such as:

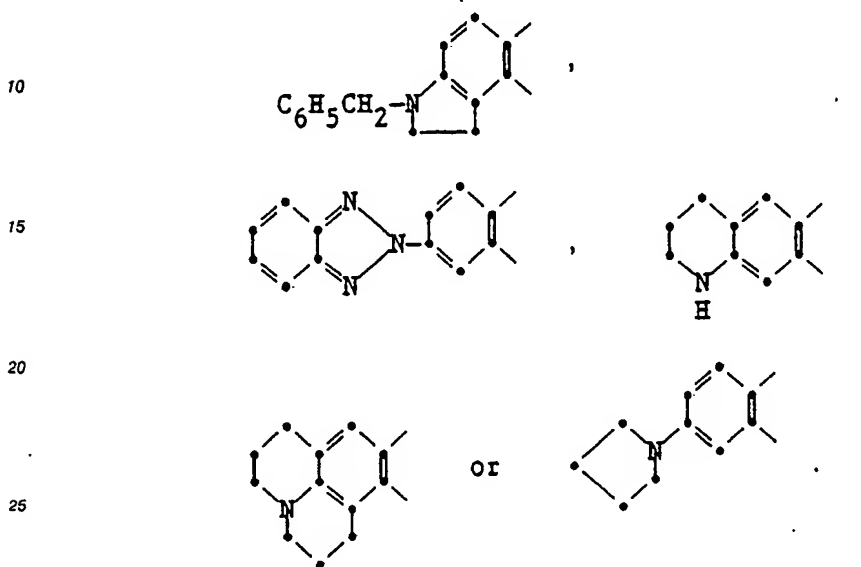
$\{-CH=CH\}_n$ , where n is 1 to 3,



each J<sup>1</sup> independently represents a monovalent bond or J; and

each X and Y independently represents hydrogen; a substituted or unsubstituted alkyl, alkylene or acyl group having from 1 to 10 carbon atoms, such as  $-\text{CH}-\overset{\text{O}}{\parallel}{\text{C}}_3^{\text{H}}$ ,  $-\text{C}_2\text{H}_4\text{OCH}_3$ ,

$-\text{C}-\overset{\text{O}}{\parallel}{\text{C}}_3\text{H}_7$ ,  $-\text{COCH}_3$ ; or  $-\text{CF}_3$ ; a substituted or unsubstituted aryl group having from 6 to 10 carbon atoms such as  $-\text{C}_6\text{H}_5$ ,  $-\text{C}_6\text{H}_5(p-\text{OCH}_3)$ ,  $-\text{C}_6\text{H}_4(o-\text{CO}_2\text{CH}_3)$ , or  $\text{C}_6\text{H}_4(p-\text{Cl})$ ; or the atoms, such as  $-\text{NH}$ ,  $-\text{CO}_2$ ,  $-\text{S}$ ,  $-\text{CH}_2$ , or  $-\text{CH}=\text{CH}$ , necessary to complete a 5- or 6-membered carbocyclic or heterocyclic ring, such as



30 In a preferred embodiment of the invention, the compound employed in the invention has the formula



wherein:  
J represents



45 and each X and Y represents the atoms necessary to complete a 6-membered carbocyclic ring.  
In another preferred embodiment of the invention, the compound has the formula

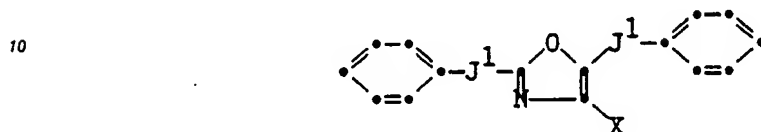


wherein:  
J represents



5 and each X and Y represents phenyl.

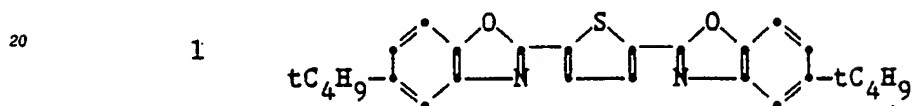
In still yet another preferred embodiment, the compound has the formula:



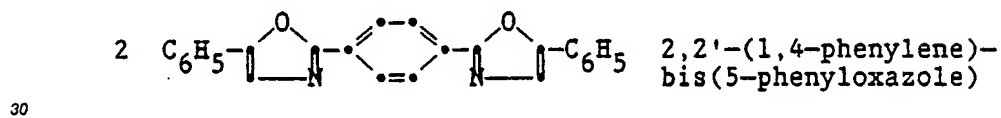
15 wherein:

each J¹ represents a monovalent bond; and X represents hydrogen.

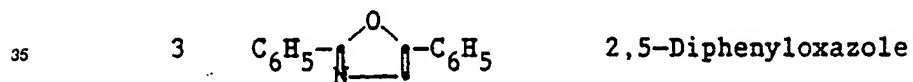
Compounds included within the scope of the invention include the following:



25 This material is available commercially as Uvitex OB® from Ciba-Geigy.



This material is available commercially from Kodak Laboratory and Research Chemicals.



This material is available commercially from Kodak Laboratory and Research Chemicals.

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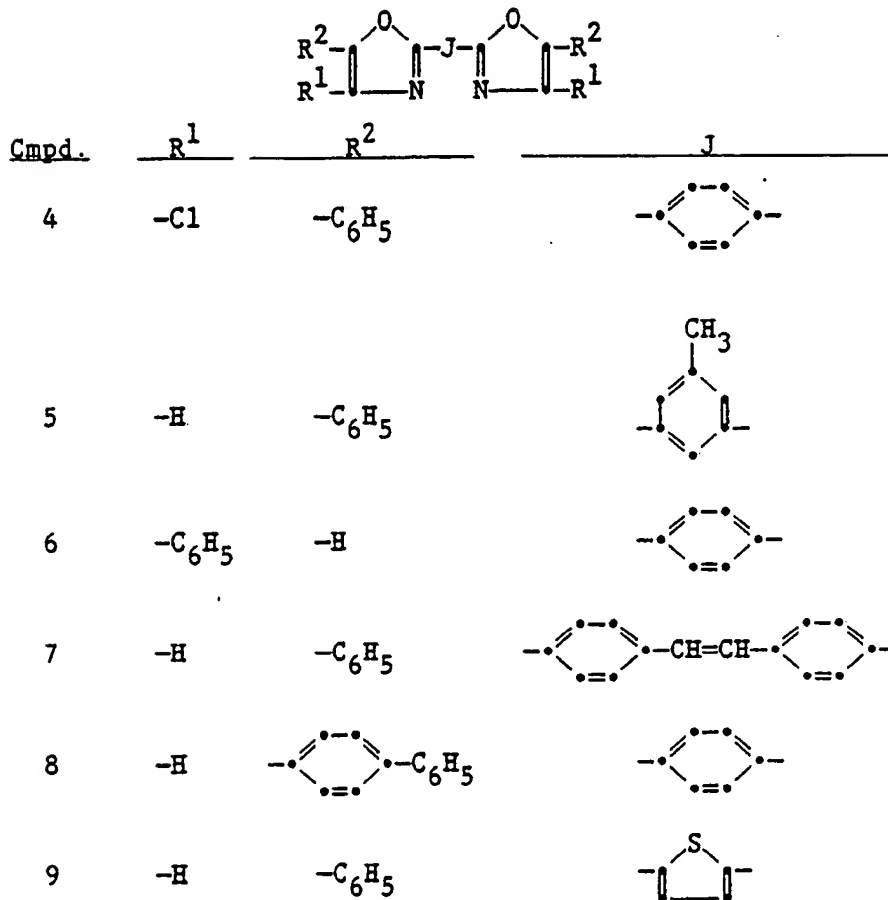
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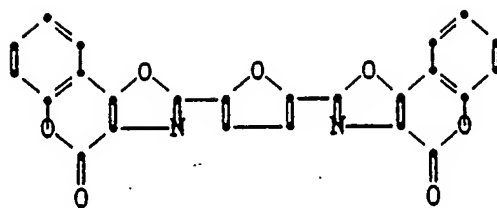
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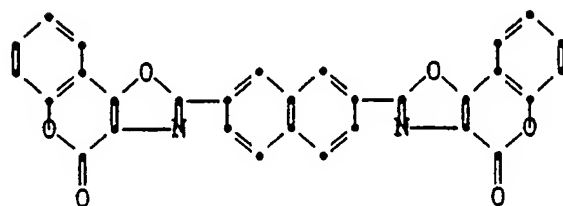
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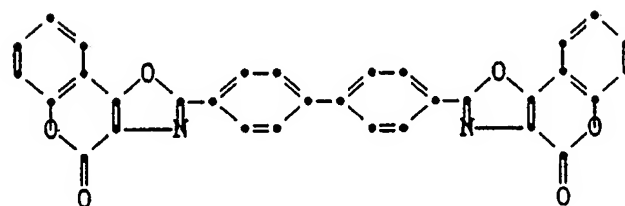
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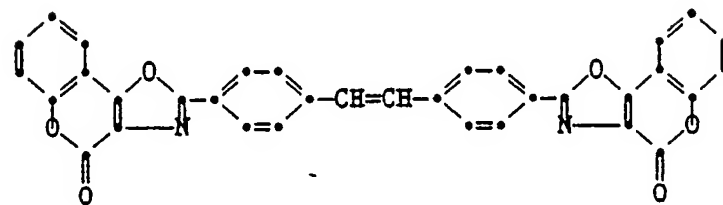
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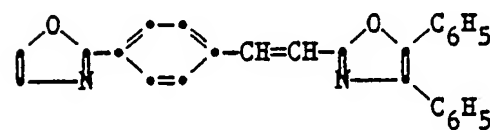
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Cmpd.	$R^3$	$R^4$	$R^5$
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17			
18	-H		
19	-H		

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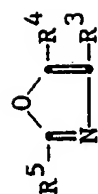
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Compd.	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup>
20	-H	-CH=CH-C <sub>6</sub> H <sub>5</sub>	
21	-H		
22	-C <sub>6</sub> H <sub>5</sub>	-C <sub>6</sub> H <sub>5</sub>	-C <sub>6</sub> H <sub>5</sub>
23	-H	-C <sub>6</sub> H <sub>5</sub>	
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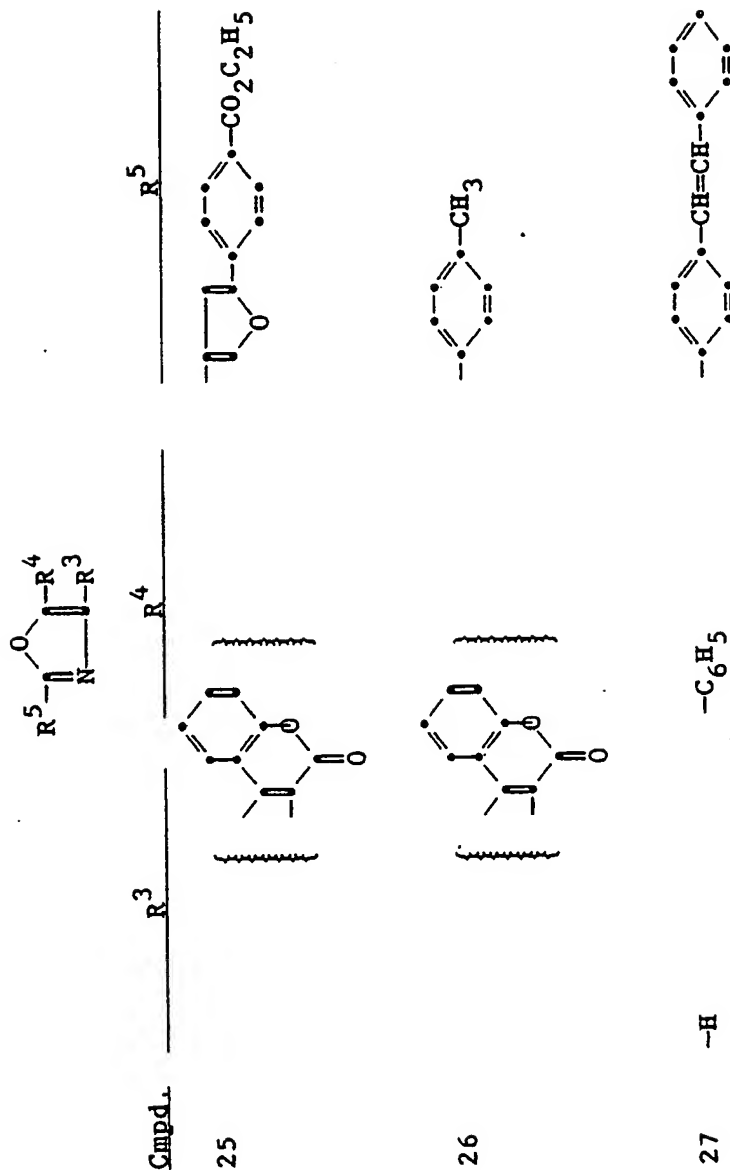
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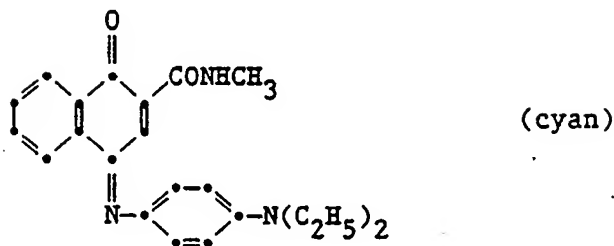
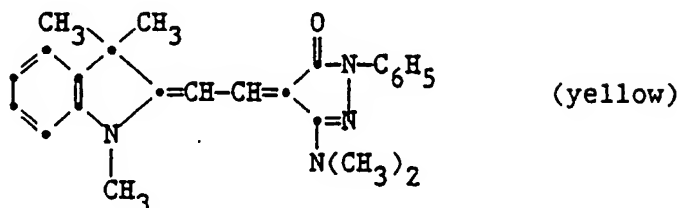
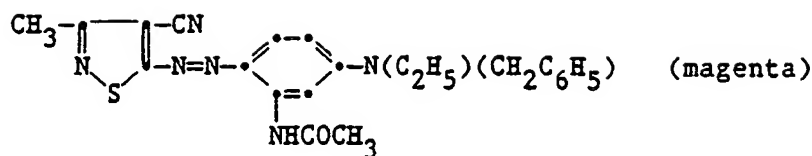
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A visible dye can also be used in a separate area of the donor element of the invention provided it is transferable to the dye-receiving layer by the action of heat. Especially good results have been obtained with sublimable dyes such as



or any of the dyes disclosed in U.S. Patent 4,541,830. The above dyes may be employed singly or in combination to obtain a monochrome. The dyes may be used at a coverage of from 0.05 to 1 g/m<sup>2</sup> and are preferably hydrophobic.

The fluorescent material in the donor element of the invention is dispersed in a polymeric binder such as a cellulose derivative, e.g., cellulose acetate hydrogen phthalate, cellulose acetate, cellulose acetate propionate, cellulose acetate butyrate, cellulose triacetate; a polycarbonate; poly(styrene-co-acrylonitrile), a poly(sulfone) or a poly(phenylene oxide). The binder may be used at a coverage of from 0.1 to 5 g/m<sup>2</sup>.

The fluorescent material layer of the donor element may be coated on the support or printed thereon by a printing technique such as a gravure process.

Any material can be used as the support for the donor element of the invention provided it is dimensionally stable and can withstand the heat of the thermal printing heads. Such materials include polyesters such as poly(ethylene terephthalate); polyamides; polycarbonates; glassine paper; condenser paper; cellulose esters; fluorine polymers; polyethers; polyacetals; polyolefins; and polyimides. The support generally has a thickness of from 2 to 30 μm. It may also be coated with a subbing layer, if desired.

The reverse side of the donor element is coated with a slipping layer to prevent the printing head from sticking to the donor element. Such a slipping layer would comprise a lubricating material such as a surface active agent, a liquid lubricant, a solid lubricant or mixtures thereof, with or without a polymeric binder. Preferred lubricating materials include those materials disclosed in U. S. Patents 4,717,711, 4,737,485, 4,738,950, and 4,717,712. Suitable polymeric binders for the slipping layer include poly(vinyl alcohol-co-butyral), poly(vinyl alcohol-co-acetal), poly(styrene), poly(vinyl acetate), cellulose acetate butyrate, cellulose acetate propionate, cellulose acetate or ethyl cellulose.

The amount of the lubricating material to be used in the slipping layer depends largely on the type of lubricating material, but is generally in the range of .001 to 2 g/m<sup>2</sup>. If a polymeric binder is employed, the lubricating material is present in the range of 0.1 to 50 weight % preferably 0.5 to 40, of the polymeric binder employed.

The receiving element that is used with the donor element of the invention usually comprises a support having thereon an image-receiving layer. The support may be a transparent film such as a poly(ether sulfone), a polyimide, a cellulose ester such as cellulose acetate, a poly(vinyl alcohol-co-acetal) or a poly-

(ethylene terephthalate). The support for the receiving element may also be reflective such as baryta-coated paper, polyethylene-coated paper, white polyester (polyester with white pigment incorporated therein), an ivory paper, a condenser paper or a synthetic paper such as duPont Tyvek®.

The image-receiving layer may comprise, for example, a polycarbonate, a polyurethane, a polyester, polyvinyl chloride, poly(styrene-co-acrylonitrile), poly(caprolactone) or mixtures thereof. The image-receiving layer may be present in any amount which is effective for the intended purpose. In general, good results have been obtained concentration of from 1 to 5 g/m<sup>2</sup>.

As noted above, the donor elements of the invention are used to form a transfer image. Such a process comprises imagewise-heating a donor element as described above and transferring a fluorescent material image to a receiving element to form the transfer image.

The donor element of the invention may be used in sheet form or in a continuous roll or ribbon. If a continuous roll or ribbon is employed, it may have only the fluorescent material thereon as described above or may have alternating areas of different dyes, such as sublimable magenta and/or yellow and/or cyan and/or black or other dyes. Such dyes are disclosed in U. S. Patents 4,541,830, 4,698,651, 4,695,287, 4,701,439, 4,757,046, 4,743,582, and 4,753,922. Thus, one-, two-, three- or four-color elements (or higher numbers also) are included within the scope of the invention.

In a preferred embodiment of the invention, the donor element comprises a poly(ethylene terephthalate) support coated with sequential repeating areas of magenta, yellow, and cyan dye and the fluorescent material as described above, and the above process steps are sequentially performed for each color to obtain a three-color dye transfer image containing a fluorescent image.

A thermal transfer assemblage of the invention comprises

- a) a donor element as described above, and
- b) a receiving element as described above, the receiving element being in a superposed relationship with the donor element so that the fluorescent material layer of the donor element is in contact with the image-receiving layer of the receiving element.

The following example is provided to illustrate the invention.

#### Example

A donor element was prepared by coating the following layers in the order recited on a 6 µm poly(ethylene terephthalate) support:

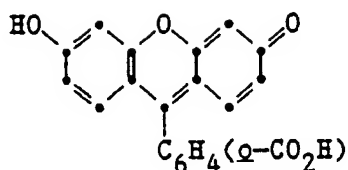
- 1) a subbing layer of duPont Tyzor TBT® titanium tetra-n-butoxide (0.12 g/m<sup>2</sup>) from 1-butanol; and
- 2) a layer containing the fluorescent material as identified above or control fluorescent material identified below (0.16 g/m<sup>2</sup>) in a cellulose acetate propionate (2.5% acetyl and 45% propionyl) binder (0.44 g/m<sup>2</sup>) coated from a cyclopentanone, toluene and methanol solvent mixture. On the back side of the element was coated:

- 1) a subbing layer of duPont Tyzor TBT® titanium tetra-n-butoxide (0.12 g/m<sup>2</sup>) from 1-butanol; and
- 2) a slipping layer of Emralon 329® polytetrafluoroethylene dry film lubricant (Acheson Colloids) (0.54 g/m<sup>2</sup>) coated from a n-propyl acetate, toluene, 2-propanol and 1-butanol solvent mixture.

#### Control Materials

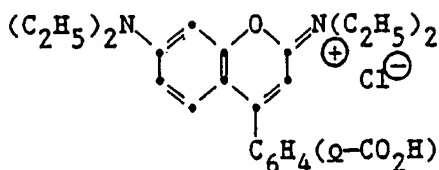
The following materials are available commercially from Kodak Laboratory Products and Chemicals Division:

## Control 1



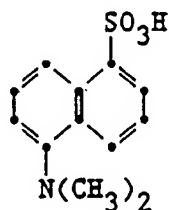
Fluorescein

## Control 2



Rhodamine B

## Control 3



DANS Acid

A receiving element was prepared by coating a solution of Makrolon 5705® (Bayer A.G. Corporation) polycarbonate resin (2.9 g/m<sup>2</sup>) and FC-431® surfactant (3M Corporation) (0.16 g/m<sup>2</sup>) in a methylene chloride and trichloroethylene solvent mixture on a transparent 175 μm polyethylene terephthalate support.

The fluorescent material layer side of the donor element strip approximately 3 cm x 15 cm in area was placed in contact with the image-receiving layer of the receiver element of the same area. The assemblage was fastened in the jaws of a stepper motor driven pulling device. The assemblage was laid on top of a 14 mm diameter rubber roller and a TDK Thermal Head L-133 (No. 6-2R16-1) and was pressed with a spring at a force of 3.6 kg against the donor element side of the assemblage pushing it against the rubber roller.

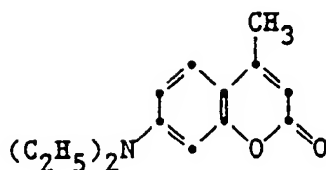
The imaging electronics were activated causing the pulling device to draw the assemblage between the printing head and roller at 3.1 mm/sec. Coincidentally, the resistive elements in the thermal print head were pulsed at a per pixel pulse width of 8 msec to generate a graduated density image. The voltage supplied to the print head was approximately 22 v representing approximately 1.6 watts/dot (13 mJoules/dot).

The receiving element was separated from the donor element and the relative emission of the transferred image area was evaluated with a spectrofluorimeter using a fixed intensity 360 nm excitation beam and measuring the relative area under the emission spectrum from 375 to 700 nm. The following results were obtained:

Table

<u>Compound</u>	<u>Relative Emission*</u>	<u>Visual Color</u>
Comparison*	100	Blue
None	5	Not visible
Control 1	<1	Not visible
Control 2	<1	Not visible
Control 3	<1	Not visible
1	91	White
2	73	Pale Yellow
3	11	Purple

\* Compared to the following compound, normalized to 100:



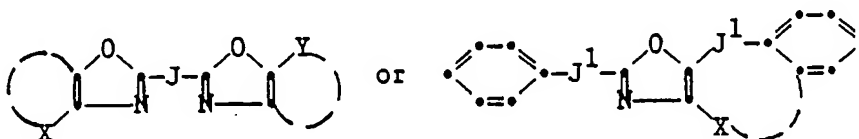
This compound is the subject of EPA Serial No. \_\_\_\_\_, of Byers and Chapman, filed of even date herewith and entitled "Thermally-Transferable Fluorescent 7-Aminocoumarins."

The above results show that the compounds of the invention have much more fluorescence than the control compounds of the prior art.

#### Claims

1. A donor element for thermal transfer comprising a support having on one side thereof a fluorescent oxazole compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant.

2. The element of Claim 1 characterized in that said compound has the formula:



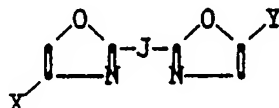
wherein:

- J represents an ethylenic linking group;  
 each J' independently represents a monovalent bond or J; and  
 each X and Y independently represents hydrogen; a substituted or unsubstituted alkyl, alkylene or acyl group having from 1 to 10 carbon atoms; a substituted or unsubstituted aryl group having from 6 to 10

carbon atoms; or the atoms necessary to complete a 5- or 6-membered carbocyclic or heterocyclic ring.

3. The element of Claim 2 characterized in that said compound has the formula

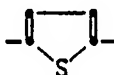
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wherein:  
J represents

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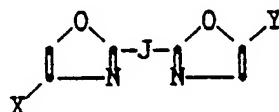


and each X and Y represents the atoms necessary to complete a 6-membered carbocyclic ring.

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4. The element of Claim 2 characterized in that said compound has the formula

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wherein:  
J represents

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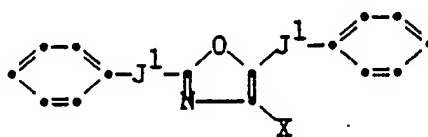


and each X and Y represents phenyl.

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5. The element of Claim 2 characterized in that said compound has the formula:

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wherein:  
each J<sup>1</sup> represents a monovalent bond; and  
X represents hydrogen.

6. The element of Claim 1 characterized in that said donor element comprises sequential repeating areas of magenta, yellow and cyan dye, and said fluorescent compound.

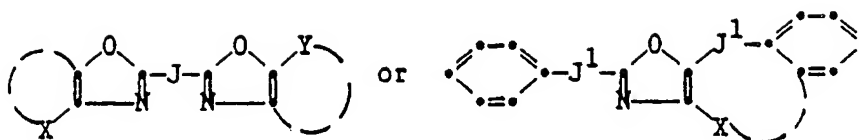
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7. A process of forming a transfer image comprising imagewise-heating a donor element comprising a support having on one side thereof a layer comprising a material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and transferring an image to a receiving element to form said transfer image, characterized in that said material is a fluorescent oxazole compound.

8. The process of Claim 7 characterized in that said compound has the formula:

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wherein:

J represents an ethylenic linking group;

10 each J¹ independently represents a monovalent bond or J; and

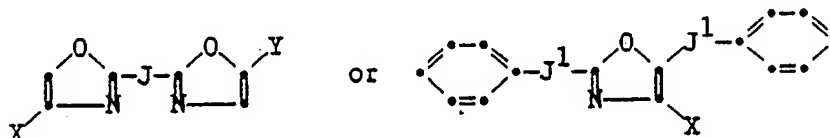
each X and Y independently represents hydrogen; a substituted or unsubstituted alkyl, alkylene or acyl group having from 1 to 10 carbon atoms; a substituted or unsubstituted aryl group having from 6 to 10 carbon atoms; or the atoms necessary to complete a 5- or 6-membered carbocyclic or heterocyclic ring.

9. A thermal transfer assemblage comprising:

- 15 a) a donor element comprising a support having on one side thereof a layer comprising a material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and  
b) a receiving element comprising a support having thereon an image-receiving layer,  
said receiving element being in a superposed relationship with said donor element so that said material layer is in contact with said image-receiving layer, characterized in that said material is a fluorescent  
20 oxazole compound.

10. The assemblage of Claim 9 characterized in that said compound has the formula:

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30 wherein:

J represents an ethylenic linking group; each J¹ independently represents a monovalent bond or J; and  
each X and Y independently represents hydrogen; a substituted or unsubstituted alkyl, alkylene or acyl  
group having from 1 to about 10 carbon atoms; a substituted or unsubstituted aryl group having from about  
6 to about 10 carbon atoms; or the atoms necessary to complete a 5- or 6-membered carbocyclic or  
35 heterocyclic ring.

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